AP12 RCU PC 1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO		ATTORNEY'S DOCKET NUMBER		
DESIGNATED/ELECTED	•	577712000200 U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		
CONCERNING A SUBMISSIO		10/534,772		
INTERNATIONAL APPLICATION NO. PCT/US2003/036459	INTERNATIONAL FILING DATE 13 November 2003	PRIORITY DATE CLAIMED 15 November 2002		
TITLE OF INVENTION				
COMPLEMENT RECEPTOR 2 TARGE APPLICANT(S) FOR DO/EO/US	TED COMPLEMENT MODULATO	RS		
Stephen TOMLINSON et al.				
Applicant herewith submits to the United State	tes Designated/Elected Office (DO/EO	/US) the following items and other information:		
1. This is a FIRST submission of items	s concerning a submission under 35 U	S.C. 371.		
	NT submission of items concerning a s			
This is an express request to begin include items (5), (6), (9) and (21) in	national examination procedures (35 that is a second condition of the cond	J.S.C. 371(f)). The submission must		
4. The US has been elected (Article 3	1).			
5. A copy of the International Application	ion as filed (35 U.S.C. 371 (c)(2))			
a. is attached hereto (required onl	y if not communicated by the Internation	nal Bureau).		
b. has been communicated by the				
c. is not required, as the application	on was filed in the United States Received	ving Office (RO/US).		
6. An English language translation of	the International Application as filed (3.	5 U.S.C. 371(c)(2)).		
a. is attached hereto.				
b. has been previously submitted	under 35 U.S.C. 154(d)(4).			
7. Amendments to the claims of the In	nternational Application under PCT Arti	cle 19 (35 U.S.C. 371(c)(3))		
a are attached hereto (required o	nly if not communicated by the Internal	ional Bureau).		
b. have been communicated by th	e International Bureau.			
c. have not been made; however,	the time limit for making such amendn	nents has NOT expired.		
d. have not been made and will no				
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (signed in counterpart) (2 pages)				
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 20 below concern docur	ment(s) or information included:			
11. An Information Disclosure Staten	nent under 37 CFR 1.97 and 1.98.			
12. An assignment document for recor	ding. A separate cover sheet in compli	ance with 37 CFR 3.28 and 3.31 is included.		
13. x A preliminary amendment. (Secor	nd) (8 pages)			
14. An Application Data Sheet under 37 CFR 1.76.				
15. A substitute specification.				
16. x A power of attorney and/or change	e of address letter. (2 pages)			
17. A computer-readable form of the	sequence listing in accordance with P	CT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825.		
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).				
19. A second copy of the English lang	guage translation of the international a	pplication under 35 U.S.C. 154(d)(4).		

PTO-1390 (Rev. 07-2005)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/US2003/036459		ATTORNEY'S DOCKET NUMBER 577712000200					
20. X Other items or information: Copy of Notification of Missing Requirements (2 pages) Statement under 37 CFR 3.73(b) + copies of Assignments (4 pages) Return Receipt Postcard							
The following	na fees have	been submitte	- h			CALCUL ATION	PTO USEONLY
	•))		\$300	\$ 0.0	
22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 0.0	0	
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					0		
	TAL OF 21, 22					\$ 0.0	0
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets Ex	tra Sheets		Number of each additional 50 or fraction thereof (round up to a whole number)				
198 -100=	98 /50 =		2 x \$250.00		x \$250.00	\$ 500.0	0
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 130.0	0			
CLAIMS	NUN	BER FILED	NUMBER EXTRA		RATE		
Total claims	10	6 - 120 =	0	×	50.00	0.0	0
Independent clain	ns	1 - 3 =	0	×	200.00	0.0	
MULTIPLE DEPEND	DENT CLAIM(S) (if applicable)	•	+	360.00	0.00	
			TOTAL OF A	BO	VE CALCULATIONS =	\$ 630.0	0
X Applicant cla	ims small entity	status. See 37 (CFR 1.27. Fees above a	re re	duced by 1/2.	315.0	0
					SUBTOTAL =	\$ 315.0	0
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					0.00		
TOTAL NATIONAL FEE =				\$ 315.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							
	1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1					\$	0.00
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						Amount to be charged	\$

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a Contract in the assessment of a	4	andha ahawa fana in	alacad			
a. A check in the amount of \$		ver the above fees is er				
b. Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed.		in the amount of \$	315.00	_ to cover the above fees.		
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No03-1952 A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038						
NOTE: Where an appropriate time limit under 37 filed an granted to restore the International App	CFR 1.495 ha	as not been met, a pet nding status.	ition to revive (3	7 CFR 1.137(a) or (b)) must be		
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SEND ALL CORRESPONDENCE TO:		SIGN	ATURE			
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Description of the Patents (Alexandria, Viginia 22313-1450 www.uspito.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/534,772

Stephen Tomlinson

577712000200 INTERNATIONAL APPLICATION NO.

PCT/US03/36459

I.A. FILING DATE 11/13/2003

PRIORITY DATE 11/15/2002

25226 -MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO, CA 94304-1018

CONFIRMATION NO. 1737 371 FORMALITIES LETTER

OC000000022088997

Date Mailed: 01/22/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/13/2005
- Preliminary Amendments filed on 05/13/2005
- U.S. Basic National Fees filed on 05/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/534.772	PCT/US03/36459	577712000200	

FORM PCT/DO/EO/905 (371 Formalities Notice)